# **FILED**

STATE OF NORTH CAROLINA?017 11 PH 2: 33 IN THE OFFICE OF ADMINISTRATIVE HEARINGS

## COUNTY OF LENOIR17 EDC 01626

OFFICE OF ADMIN HEVOINGS				
by parent or guard Petitioner,	ian 🌉.	FN	NAL DECIS	SION
Lenoir County-Kinston N County Board of Education		,	a.	
Law Judge Presiding, on the presented and considering determined that the Responeligibility process, but as purposes, no remedy exists not eligible for services, contested case must be dism	the written and oral	3-4 and 24-27, 2d arguments of the erous procedural that qualify as a studied and appropriate and appropriate ANCES	017. After he parties, the errors durin dent with a de IDEA. Mor	earing the evidence Undersigned has ag the referral and lisability for IDEA reover, was
For Petitioners:	pro	se		
For Respondent:	Tharrington Smith, 150 Fayetteville Str PO Box 1151 Raleigh, NC 27602-	reet, Suite 1800		
For Petitioners:	, K-12 Co , Princip , Exce	er Contract School chool Psychologic ompliance Specia oal ptional Children	st list School s Director chool Math	

For Respondents:

See above

#### **EXHIBITS**

The following Stipulated Exhibits were received into evidence at the start of the hearing:

Stipulated Exhibits Nos. 7, 8, 12-22, 24-25, 28, 30-43 ("Stip. 1, Stip.2," etc.).

The following exhibits were received into evidence during the hearing:

Petitioners' Exhibits 1-5, 7 — 12, 14, and 15 ("Pet. Ex. 3, Pet. Ex. 4," etc.); Respondents' Exhibits 23, 29, and 46 ("Resp. Ex. 1, Resp. Ex. 2," etc.).

The exhibits have been retained as part of the official record of this contested case.

### PROCEDURAL BACKGROUND

- 1. Petitioner filed this Petition for Contested Case pro se on March 7, 2017. The Petition was placed on an expedited calendar because the Petition indicated that Petitioner was challenging a manifestation determination, which occurred on November 8, 2016.
- 2. Hearing was scheduled to begin on April 3, 2017. Due to the lack of a court reporter at the appointed time, a pre-hearing conference was held on the morning of April 3 <sup>rd</sup>. The hearing began the afternoon of April 3, April 4 and April 24-27, 2017.
- 3. On April 26, 2017 Respondent made an oral motion to dismiss the Petition based on mootness, which was denied from the bench.
- 4. The parties were offered the option of waiting for completion of the transcripts prior to drafting their proposed decisions, but Petitioner declined and, instead, preferred an expedited decision by May 11, 2017 pursuant to IDEA, 20 U.S.C. 1415(k)(4)(B).

## **ISSUES**

After conferring with the parties during the pre-hearing conference and reviewing the Petition and Response, the Undersigned identified the following issues for this hearing:

- 1. Whether is a "student with a disability" or a "suspected student with a disability" as defined by the IDEA, and if so, whether Respondent violated the provisions of the IDEA by failing to designate him as such ("Eligibility Issue");
- 2. If is a "student with a disability" or a "suspected student with a disability," whether Respondent violated the requirements of the IDEA regarding discipline of

students with disabilities, specifically about his suspensions on November 15, 2015 for drug possession and October 6, 2016 for weapon possession on school grounds ("Manifestation Issue"); and,

3. If is a "student with a disability" or a "suspected student with a disability," whether Respondent provided him with a free appropriate public education during the 45\* day alternative setting for a weapon violation and, thereafter, during the long-term suspensions the remainder of the 2016-2017 school year period ("FAPE Issue").

Petitioner raised other issues pertaining to appropriateness of the school board's disciplinary proceedings, the appropriateness of the search by the school resource officer, the failure of witnesses to attend the disciplinary appeal hearing, and other actions by school staff which are outside the jurisdiction of this Tribunal. main concern was that she "[did] not want him to become a dropout statistic." Stip. Ex. 24, pp. 89 & 92. The Undersigned sincerely hopes that will not become another victim of the "School to Prison Pipeline. "1

## **BURDEN OF PROOF**

As the Petitioner in this matter, has the burden of proof. The standard of proof is by a preponderance of the evidence. See Schaffer ex rel. Schaffer v. Weast, 546 U.S. 49, 62 (2005); N.C. Gen. Stat. 150B-34(a). North Carolina statutory law states that actions of local boards of education are presumed to be correct and "the burden of proof shall be on the complaining party to show the contrary." N.C. Gen. Stat. 115C-44(b). The Petitioner, being the complaining party, has the burden of showing, by a preponderance of the evidence, that

disability as defined by the IDEA, that the manifestation determination was incorrect, and that Respondent denied as a free appropriate public education.

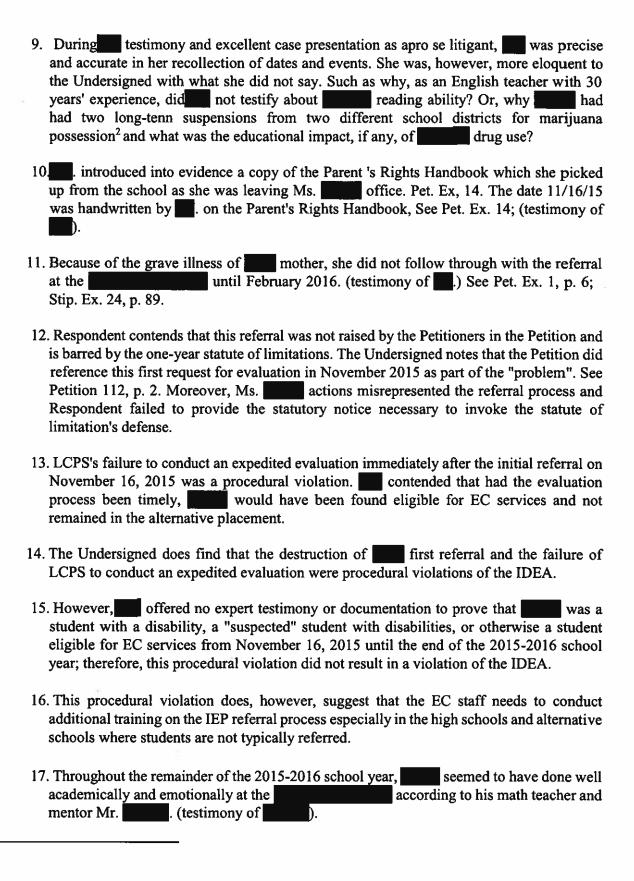
## **FINDINGS OF FACT**

BASED UPON careful consideration of the sworn testimony of the witnesses presented at the hearing, the documents and exhibits received and admitted into evidence, and the entire record in this proceeding, the undersigned Administrative Law Judge makes the following FINDINGS OF FACTS.

In making the FINDINGS OF FACTS, the undersigned Administrative Law Judge has weighed all the evidence and has assessed the credibility of the witnesses by taking into account the appropriate facts for judging credibility, including, but not limited to, the demeanor of the witness, any interests, bias, or prejudice the witness may have, the opportunity of the witness to see, hear, know or remember the facts or occurrences, about which the witness testified, whether the testimony of the witness is reasonable, and whether the testimony is consistent with all other believable evidence in the case including but not limited to the IEPs, Prior Written Notices,

Sarah E. Redfield & Jason P. Nance, The American Bar Association Joint Task Force on Revising The School-To-Prison Pipeline Preliminary Report 10 (2016).

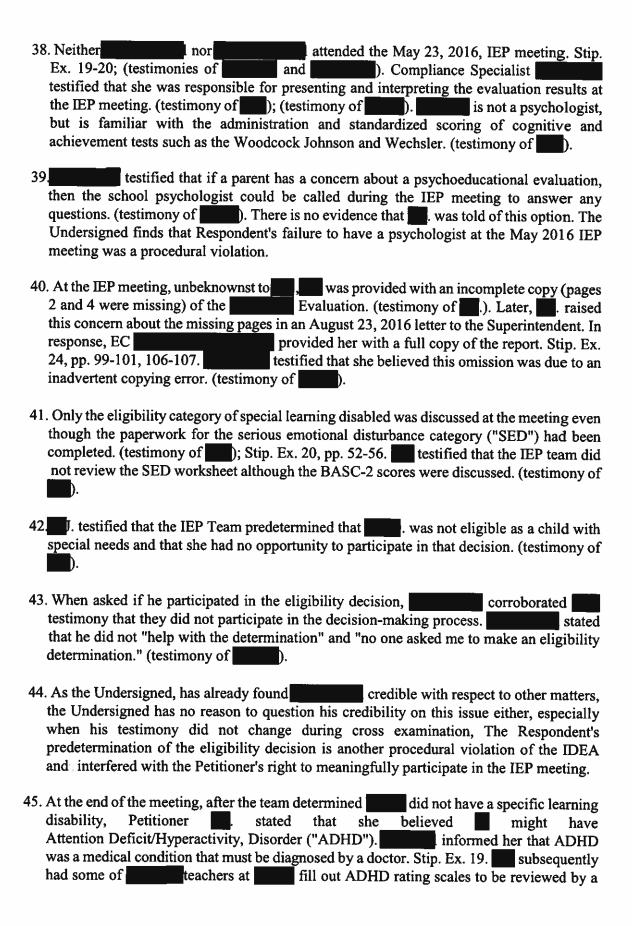
	pondence, IEP minutes, eligibility records, disciplinary records, Section 504 documents, her educational records of
1.	is a student currently enrolled in the in Lenoir County Public Schools ("LCPS") at the ("""), an school. Stip. Ex. 42. Although mother resides in at all times relevant to this proceeding has resided with and in Lenoir County, North Carolina.
2.	In September 2015, for year year transferred to LCPS from the System. Pet. Ex. 15. most recent school assignment in school, where was placed after being found to be in possession of marijuana at school. (testimony of ).
3.	There was no evidence provided from either party that the System or any other public school system had found disability prior to transfer to LCPS.
	2015-2016 School Year Long-Term Suspension and IDEA Referral
4.	For the 2015-2016 school year, was enrolled in School ("School ("S
First I	Long-Term Suspension (Drugs) and First IDEA Referral on November 16, 2015:
5.	Within two months of enrollment, (November 16, 2015), was suspended from for the remainder of the school year after he was found to be in possession of marijuana during a random search on campus ("first long-term suspension"). (testimony of); (testimony of) was transferred to the remainder of the 2015-2016 school year.
6.	On November 16, 2015, after first long-term suspension in the 2015-2016 school year, met with tested to see if qualified for the Exceptional Children's Program ("EC Program"). (testimony of section of the exception of the except
7.	After handed the completed form to Ms. , she threw the form in the trash because was being recommended for long-term suspension. (testimony of Ms.). Per Ms. informed that she would need to wait until enrolled at next location to complete the form. (testimony of Ms.). Ms. did not testify at the contested case hearing to rebut these allegations.
8.	The Undersigned finds that was credible and sincerely concerned about best interests. had retired from a 30-year career as a public school English teacher and was knowledgeable about special education referrals.



<sup>2</sup> "Drug and alcohol addicted children are not 'children with disabilities' within the meaning of G.S. 115C-106.3(1) unless because of some other condition they meet that definition" N.C.G.S. 115c-149.  Second IEP Referral on February 26, 2016:
18. On or about February 26, 2016, presented a letter to the which she requested that "be tested to see if he qualifies for an individual education plan through the EC program." Stip. Ex. 24, p. 89.
19. Upon receipt of this letter, the staff began the process of classroom interventions through the Student Support Team. Resp. Ex. 23; (testimony of testimony o
20. An IEP team was convened on April 14, 2016, with the participation of Stip. Exs. 13 16; (testimony of Stip. Ex agreed to conduct an evaluation of Stip. Ex. 15. The team requested assessments in the following areas: psychological, educational, social developmental history, and behavior rating scales. Stip. Ex. 14, p. 28.
Broadwell Evaluation April 27, 2016:
21. On April 27 2016,, a private psychologist on contract with the school system, conducted a psychoeducational assessment of ("
22. Ms. reported on the student history that said: has trouble with changes and has difficulty making friends. has difficulty forming relationships with adults. Behavioral and emotional difficulties are reported. seems impulsive and has fears. is reported to overreact and seems unhappiness [sic] most of the time. Strengths are reported to be athletics and math. Weaknesses are reported in solation and difficulty expressing strengths." Stip. Ex. 17, p. 35.
23. Ms. evaluation revealed that had cognitive ability in the low average to average range (full scale IQ = 78) <sup>3</sup> , with a weakness in fluid reasoning (69) and strengths in working memory (94) and processing speed (95). Stip. Ex. 17, p. 37; (testimony of scores were commensurate with or higher than measured ability on all except a subtest of reading passage comprehension, on which scored a 57. Stip. Ex. 17, p. 37.
24. Ms. provided her report to the school system, and it was reviewed by staff members including and school psychologist below. Both Ms. and Ms. testified that they saw the reading passage comprehension score as

3 A standard score of 100 is at the mean with scores from 90 to 109 defining the average range. Stip. Ex. 18, p. 42. A standard score of 78 is well below the average range, but other I.Q. subtest scores were in the average range other than the low fluid reasoning score of 69. stip. Ex. 17, p. 37.
concerning or a "red flag." (testimony of second); (testimony of second other concerned because of the sheer difference between the reading score and academic scores. Id. Ms. also noted that second teachers had not previously identified any significant reading concerns in the classroom. (testimony of second).
Evaluation May 13, 2016:
25. Because of these concerns about the outlying reading score, psychologist employed by the school system, conducted an additional reading assessmen ("Evaluation"). Ms. administered the Wechsler Individual Achievemen Test — Third Edition ("WIAT-III") to He scored a 99, well within the average range. stip. Ex. 18, p. 42.
objected to this retesting of reading comprehension score because indicated on her report that the results were a "valid estimate of his curren functioning." (testimony of ); Stip. Ex. 17, p. 36 (testimony of ).
27. Ms. It also compiled the Behavior Assessment System for Children ("BASC-2")  The BASC-2 rating scales were completed by Petitioner and one of teachers  On the BASC-2, the only symptom rated in the "clinically significant" range by grandparent was "anxiety." Stip. Ex. 1 8, p. 43. The school rated did not see clinically significant anxiety behaviors, but did provide clinically significant ratings in the area of "withdrawal." Stip. Ex. 18, p. 43.
28. As described by the BASC-2, "exhibits more difficulty in the home/community environment than in the school setting" exhibits behavior associated with anxiety a home and withdrawal at school. Both and report concerns about developmental social disorders such as problems with functional communication and social skills." Stip. Ex. 18, p. 44.
29. Overall, reported problems at home were more extreme than those reported by his teacher which is consistent with Ms. conclusion that had more difficulty in the home environment. Stip. Ex. 18, p. 44.
30. who taught math during the 2015-2016 and 2016-2017 school years are could complete grade-level math work independently and well. (testimony of least requires a considerable amount of reading at times, and that has observed to have no difficulties reading grade-level material. Id stated that "he had no concerns about ability to do grade level material." Id.
31. The Undersigned has reviewed the psychological evaluation reports and does not find reason to discredit the results of assessment, which showed reading

	ability). No other evidence was introduced that would support Petitioner's theory that had a specific learning disability in reading.
32.	The Undersigned finds testimony compelling. As former mentor and math teacher for both relevant school years, discernment about academic abilities and behavioral issues carried great weight. His fondness and concern for were evident, during his testimony and this bolstered his credibility.
33.	during the two school years. It testimony about the testified that during the 2015-2016 school year was often focused and successful in class, and although he had numerous absences he could make-up missed work quickly. Ids also stated that during the 2015-2016 school year understood grade level material, could communicate well with others, and had no behavioral problems other than chronic absenteeism and sleeping in class. Id.
34.	During the 2016-2017 school year, however, testified that had become a "different student," frequently absent, slept in class, and not participating when he is present. Id. Although was no longer designated mentor, unsuccessfully attempted to communicate with about why his attitude towards school had soured during the 2016-2017 school year. Id.
35.	contends this change was because was unlawfully sent to the for another year instead of a regular high school with peers and that e suffered from depression. (testimony of). If did not testify as to emotional state nor has a mental health professional diagnosed with depression. Without a mental health diagnosis, the Undersigned cannot speculate about the reasons for emotional state or behaviors during the 2016-2017 school year.
May 23	3, 2016 IEP Eligibility Meeting:
	Eighty-seven (87) days after the second written referral on February 26, 2016, the IEP team reconvened on May 23, 2016 ("May 2016 IEP Meeting"), to review the evaluation results. Petitioner
	At the time of the May 2016 IEP meeting, was not receiving mental health treatment and had not been diagnosed with any medical or mental health disorder. reviewed the psychoeducational evaluations. (testimonies of and .). Per Respondent's failure to have a school psychologist at the meeting to interpret the psychoeducational evaluations was a procedural error. Id.



health care provider. Stip. Ex. 24, p. 98; (testimony of 1.). However, the provider did not diagnose with ADHD and Petitioner no longer contends that has ADHD. (testimony of 1.).
testified in his opinion based on the pattern of decline in End of Grade/Course scores, failed grades, and the discrepancy in reading comprehension in the Evaluation that should have been found eligible for EC services. Even though was not formally proffered as an expert witness, the Undersigned does not doubt sincerity and expertise. Because the Petitioner had not provided copies of all the exhibits in sufficient time <sup>4</sup> for him to review them, was unaware of the subsequent Evaluation which showed a normal reading comprehension score and the documentation of extensive absenteeism and tardiness to class which according to greatly impacted failing grades. As opinion relied primarily on the Evaluation and limited documentation favorable to the Petitioners his credibility was compromised and the Undersigned affords his testimony little weight.
47. Petitioner testified at hearing that she believed should have been found eligible as a student with a specific learning disability in reading. (testimony of that she also believed he would qualify as a student with a serious emotional disturbance ("SED"), based on his anxiety and perhaps other mental health concerns. Id.
48. Despite multiple requests from the Undersigned and Respondent, Petitioner was unable to produce any evidence of a medical diagnosis of anxiety disorder, depression, or any other medical or mental health disorder prior to the May 23, 2016. (testimony of line). After the eligibility meeting on September 29, 2016, Petitioner did produce a report from a licensed professional counselor stating that he had "diagnosed" with oppositional defiance disorder ("ODD"). (testimony of labout Stip. Ex. 27 not offered into evidence).
49. A December 22, 2016 note from a different provider stated that a "formal evaluation" of for anxiety and ADHD were forthcoming. Stip. Ex. 37, p. 131
about treatment of
2016-2017 School Year Section 504 Referral
51. School for the 2016-2017 school year.

as a potential witness prior to the April 3, 2017 hearing date. testified on the last day of the hearing (April 27, 2017) that he only had time to review a few of the approximately 147 pages of exhibits because he had only just received them the prior evening. Petitioners' failure to provide all the exhibits in sufficient time for his comprehensive review greatly affected the probative value of his testimony.
Section 504 Referral:
52. This Tribunal does not have jurisdiction over evaluations or eligibility determinations under the Rehabilitation Act of 1973 ("Section 504"), but this information is provided for historical context and to the extent that
53. On or about August 23, 2016, sent a letter to the Superintendent, with a copy to EC Stip. Ex. 24, pp. 99-101. In this letter, requested services under Section 504. Id. at 99.
54. In response, contacted the school guidance counselor to initiate the Section 504 evaluation, and met with the school staff to ensure they were familiar with the 504 process. (testimony of the school).
55. On August 29, 2016, Petitioner was provided with paperwork regarding the Section 504 evaluation. She did not return the signed consent for evaluation to the school until September 29, 2016. (testimony of Physician's Report," filled out by a licensed professional counselor ("LPC") and licensed substance abuse counselor ("LCAS") 5. Stip. Ex. 27. The form stated that diagnosed with oppositional defiant disorder ("ODD"). reported that ODD "has a persistent pattern of irritability, inc [sic] being argumentative, being defiant and noncompliant towards rules from authoritative figures." His recommendations included allowing a "cooling down break," limiting arguments with the student, and providing positive feedback and validation when the student is upset. Id.
56. LCPS staff testified that none of them witnessed any overt defiant behavior when interacting with and that when asked to do certain tasks, was compliant. (testimony of testimony of te
therapy sessions with began July 14, 2016 after the May 2016 eligibility meeting. Pet. Ex. 4. Petitioner testified that abuse counseling as well as general counseling to and acknowledged that this counseling may have been ordered or recommended by the juvenile court as part of a criminal proceeding. (testimony of 1).

2016-2017 School Year Second Long-Term Suspension

## (weapon/contraband) and Manifestation Determination Review

contraband at school, specifically pepper spray, brass knuckles, two lighters, and a bottle of Robitussen. Stip. Exs. 7-8. The items were found after a student saw the brass knuckles
A licensed professional counselor ("LPC") can evaluate and treat mental health disorders. N.C.G.S. 90-332. A licensed substance abuse counselor ("LCAS") can assess and treat individuals at risk of developing addictive disorders or disease with co-occurring disorders, and addictive disorder or disease. N.C.G.S.S 90-113.31B (04).
and reported it to the administration. Stip. Ex. 7; (testimony of the remainder of the school year was recommended ("second long-term suspension"). Id.
59. Shortly after the suspension, Hurricane Matthew hit Eastern North Carolina, and the Lenoir County Public Schools were closed for ten consecutive school days due to the storm and resulting floods.
60. At the time of the suspension, did not realize that under Section 504 was still ongoing. Stipe Ex. 7, p. 10; (testimony of was subsequently raised, the school scheduled a manifestation determination meeting to determine whether the conduct, possession of a weapon and contraband at school, was a manifestation of any suspected area of disability. (testimony of testimony of the school); (testimony of the school).
November 8, 2016 Manifestation Determination Review ("November 2016 MDR"):
61. The manifestation determination meeting took place on November 8, 2016 ("November 2016 MDR"). Stip. Exs. 34-35. This was approximately the 13 th school day of suspension
62. It is undisputed that an evaluation under the IDEA was not underway at the time of the suspension and that had not been identified as a student eligible for EC services. Respondent held a manifestation determination under Section 504 because a Section 504 evaluation had been requested and was a "suspected" student with a disability under Section 504.
63. The November 2016 MDR meeting included the two teachers of the school psychologist who had evaluated him, the chair of the school Student Support Team and school board's legal counsel. Stip. Ex. 35, p. 128. and both attended and were represented by counsel at the meeting. Id.
64. At the November 2016 MDR meeting, the team reviewed the available information, including: both psychological reports from spring 2016; the report from counselor (Stip. Ex. 27, not offered into evidence); and reports from teachers on

determined that the conduct of bringing weapons to school was not a manifestation of any known or suspected area of disability. Stip. Ex. 35. 65. No evidence was introduced at hearing to support the proposition that decision to bring the weapon and other contraband to school was caused by or directly related to any disabling condition. 66. Petitioner submitted a document she wrote during the suspension appeal process, in which she gave various reasons why was in possession of the items, none of which were disability related. Pet. Ex. 12. The conduct that led to the suspension did not involve any of the behaviors identified in the note from as a characteristic of ODD (irritability, argumentativeness, defiance or noncompliance toward authority figures). (Stip. Ex. 27 not offered into evidence); (testimony of 67. main justification for decision to bring a weapon to school was Ms. comment that behaviors suggest a "significant lack of insight into the consequences of his behavior." Stip. Ex. 18, p. 46. Poor insight into consequences is not a disability; it is simply bad judgment. Subsequent Events 68. After his October 2016 suspension, was assigned to attend the alternative school. LCLA, for the remainder of the 2016-2017 school year. LCLA offers a full-time academic program in a small group setting. (testimony of attendance at semester has been extremely sporadic, especially to his first class. Stip. Ex. 43. currently involved in criminal court proceedings, not only stemming from the weapon charge related to his October 2016 suspension, but also for larceny and alcohol violations incurred outside of school. (testimony of 69. After the October 2016 suspension, Respondent has offered on at least three occasions to assist in obtaining a proper medical assessment of to determine if he has a potentially disabling condition. Stip. Exs. 36 (11/22/16 Letter from (3/22/17 Letter from ); Res. Ex. 46 (minutes of 11/8/16 MDR meeting). 70. At the Resolution meeting on March 29, 2017, presented a letter from dated March 7, 2017. In his March 7, 2017 letter, CRC, LPC, indicated that \_\_\_\_\_. had started treatment on July 14, 2016 to "address his defiant, anxiety, and substance abuse (marijuana) issues." Pet. Ex. 4, indicated that has done well to address issues as tries to continue education and comply with rules at home, school, and in the community." Id. did not diagnose with anxiety and/or addictive disorder nor did testify at the hearing. 71. After receiving this information, EC made a written offer to Petitioner that included an expedited evaluation and eligibility determination, an

his classroom performance and how they worked with him. Stip. Exs. 35, 41. The team

independent psychoeducational evaluation, and, if	was found eligible for speci	al
education, a review of the manifestation determination	on and consideration of compensato	ry
education. Stip. Ex. 40; (testimony of each); (test	timony of	ot
accepted any of these offers. Id.		

## CONCLUSIONS OF LAW

## General Legal Framework:

- 1. To the extent the Findings of Fact contain conclusions of law, or the Conclusions of Law are findings of fact, they should be considered without regard to their given labels.
- 2. Petitioner and Respondent named in this action are properly before this Tribunal, and this Tribunal has personal jurisdiction over them.
- 3. As the party seeking relief, the burden of proof for this action lies with Petitioner. See Schaffer ex rel. Schaffer v. weast, 546 U.S. 49, 62 (2005).
- 4. The Office of Administrative Hearings has jurisdiction over this case pursuant to Chapters 115C and 150B of the North Carolina General Statutes and the Individuals with Disabilities Education Improvement Act (IDEA), 20 U.S.C. {1400 et seq. and implementing regulations, 34 C.F.R. Parts 300 and 301. N.C. Gen. Stat. § 115C-109.6(a) controls the issues to be reviewed.
- 5. The IDEA is the federal statute governing education of students with disabilities. The federal regulations promulgated under IDEA are codified at 34 C.F.R. Parts 300 and 3()1.
- 6. Respondent is a local education agency receiving monies pursuant to the IDEA.
- 7. The controlling state law for students with disabilities is N.C. Gen. Stat. Chapter 115C, Article 9.
- 8. The Petitioner, as the party requesting the hearing, may not raise issues at the hearing that were not raised in the due process petition.
- 9. The Petitioner may not raise claims arising more than one year prior to the filing of this Petition unless the claim falls under an exception to the statute of limitations. 20 U.S.C. § f)(3)(C);N.C.G.S. 115C-109.6(b).
- 10. As the party requesting the hearing, the burden of proof lies with Petitioner and the standard of proof is by a preponderance of the evidence. See Schaffer ex rel. Schaffer v. Weast, 546 U.S. 49, 62 (2005). Actions of local board of education are presumed to be correct and Petitioners' evidence must outweigh the evidence in favor of the Board's decisions. See N.C.G.S. 115C-44(b).

### Jurisdiction:

- 11. OAH is an independent, quasi-judicial agency established as part of the executive branch of government and has only those judicial powers necessary to accomplish the purposes for which it was created. Employment Commn. v. Peace, 128 N.C. App. 1, 8, 493 S.E.2d 466, 470 (1997). The General Statutes confer OAH with jurisdiction over "any matter relating to the identification, evaluation, or educational placement of a child, or the provision of a free appropriate public education of a child, or a manifestation determination" under the IDEA. N.C. Gen. Stat. 115C-109.6; see also, 150B-22.1.
- 12. OAH does not have jurisdiction over claims arising under Section 504 of the Rehabilitation Act of 1973 ("Section 504"), No Child Left Behind, or other claims not arising from the Individuals with Disabilities Improvement Education Act.
- 13. To the extent that Section 504 violations have occurred in this case, the Undersigned has no jurisdiction and those claims are dismissed with prejudice.

## **ELIGIBILITY AND FAPE ISSUES**

- ISSUE 1: Whether is a "student with a disability" or a "suspected student with a disability" as defined by the IDEA, and if so, whether Respondent violated the provisions of the IDEA by failing to designate him as such ("Eligibility Issue")?
- ISSUE 3: If \_\_\_\_\_\_ is a "student with a disability" or a "suspected student with a disability," whether Respondent provided \_\_\_\_\_ with a free appropriate public education during the 45-day alternative setting for a weapon violation and, thereafter, during the long-term suspensions the remainder of the 2016-2017 school year period ("FAPE Issue")?

## Eligibility for the Protections of the IDEA:

- U.S.C. 1415(a) (establishing procedural safeguards for "children with disabilities and their parents"); see also, e.g., Alvin Independent Sch. District v. A.D., 503 F.3d 378, 384 (5th Cir. 2007) ("Because we find that A.D. does not qualify for special education services, we need not reach his final argument regarding AISD's alleged procedural errors."); T.B. v, Bryan Independent Sch. Dist., 628 F.3d 240 (5th Cir. 2010) (in action for failure to timely evaluate and find student eligible, parents could not recover attorney fees because IDEA fee-shifting provision only applies to parents of students who have been determined to have a disability);

D.S. v. Neptune Township Bd OfEducation, 264 Fed. Appx. 186, 189-90 (3rd Cir. 2008) (unpublished) ("there is no evidence that Congress intended IDEA to protect the rights of 'children with a disability who have not been determined eligible for special education services. . .."

15. The IDEA defines a "child with a disability" as "a child evaluated in accordance with [IDEA procedures] as having mental retardation, a hearing impairment (including deafness), a speech or language impairment, a visual impairment including blindness), a serious emotional disturbance (referred to in this part as "emotional disturbance"), an orthopedic impairment, autism, traumatic brain injury, another health impairment, a specific learning disability, deaf-blindness, or multiple disabilities, and who, by reason thereof, needs special education and related services." 34 C.F.R. 300.8(a). 16. Petitioner testified at hearing that she believed should have been found eligible as a student with a specific learning disability in reading because the Evaluation showed a 24-point discrepancy between his reading comprehension and cognitive ability. (testimony of ...); see Stip. Ex. 17, pp. 38-39. A "specific learning disability" is "a disorder in one or more of the basic psychological processes involved in understanding or in using language, spoken or written, that may manifest itself in the imperfect ability to listen, think, speak, read, write, spell, or to do mathematical calculations, including conditions such as perceptual disabilities, brain injury, minimal brain dysfunction. dyslexia, and developmental aphasia." 34 C.F.R. 300.8(c)(10). The definition of specific learning disability excludes "learning problems that are primarily the result of . . . emotional disturbance, or of environmental, cultural, or economic disadvantage." Id. 17. Petitioner asks that the Undersigned rely exclusively on the which showed a discrepancy and completely disregard the Evaluation which did not. As Petitioners have the burden of proof by a preponderance of evidence in this case, the Petitioners are essentially asking the Undersigned to "flip a coin" as to which evaluation is applicable. The Undersigned declines to rule in this matter and this decisionmaking process is not acceptable to reviewing courts. Only a third evaluation would break 18. Instead, the Undersigned must give deference to the educators. that, after two years of teaching that, high school math, he saw no evidence of a reading experience failed to testify that she observed any reading disability.

19. Based on Findings of Fact 20-35 and other evidence in the record, the Undersigned concludes that the evidence produced does not establish that had a specific learning disability in reading or any other academic area during the 2015-2016 or 2016-2017 school years. The extremely low reading score produced by testing was anomalous with other test results and general classroom performance, and the

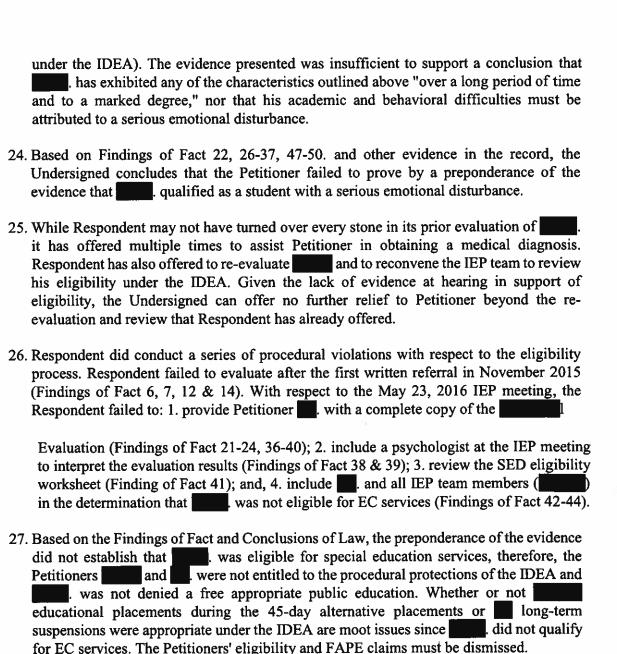
testimony tips the scale in favor of the validity of the Evaluation results.

Respondent was within its rights to request follow-up testing. The follow-up testing produced evidence of average reading ability which is consistent with testimony, and no evidence was introduced that would compel the Undersigned to disregard that second score.

- 20. Petitioner testified that she also believed he would qualify as a student with a serious emotional disturbance ("SED"), based on anxiety and perhaps other mental health concerns such as depression. (testimony of anxiety).
- 21. An "emotional disturbance" under the IDEA is:
  - a condition exhibiting one or more of the following characteristics over a long period of time and to a marked degree that adversely affects a child's educational performance:
  - (A) An inability to learn that cannot be explained by intellectual, sensory, or health factors.
  - (B) An inability to build or maintain satisfactory interpersonal relationships with peers and teachers.
  - (C) Inappropriate types of behavior or feelings under normal circumstances.
  - (D) A general pervasive mood of unhappiness or depression.
  - (E) A tendency to develop physical symptoms or fears associated with personal or school problems.
  - (ii) Emotional disturbance includes schizophrenia. The term does not apply to children who are socially maladjusted, unless it is determined that they have an emotional disturbance under paragraph (c)(4)(i) of this section.

#### 34 C.F.R.300.8

- 22. Despite multiple requests from the Respondent and the Undersigned, other than the ODD diagnosis, Petitioner was unable to produce any direct evidence of a medical diagnosis of anxiety disorder, depression, or any other medical or mental health disorder. The evidence Petitioner did provide reflected only a diagnosis of oppositional defiant disorder from a licensed professional counselor. Stip. Exs. 27, 38, 39.
- 23. Even assuming, that there was sufficient evidence of a diagnosis of ODD, the evidence did not establish that \_\_\_\_\_\_. meets the criteria for eligibility as a student with a serious emotional disturbance, nor that he requires specialized instruction because of such a disability. See generally, Springer v. Fairfax Coe Sch. Bd., 134 F.3d 659 (4th Cir. 1998) (outlining the difference between "social maladjustment" and an "emotional disturbance"



## MANIFESTATION ISSUE

ISSUE 2: If \_\_\_\_\_\_\_ is a "student with a disability" or a "suspected student with a disability," whether Respondent violated the requirements of the IDEA regarding discipline of students with disabilities, specifically about his suspensions on November 15, 2015 for drug possession and October 6, 2016 for weapon possession on school grounds ("Manifestation Issue")?

## Compliance with IDEA Disciplinary Procedures:

28. As outlined above, the procedural protections of the IDEA generally apply only to students who have been determined after proper evaluation to meet the eligibility criteria for special

education services under the IDEA, but was not found eligible. There is one exception, however, and that is the discipline procedures contained in the Act. 20 U.S.C. § 1415(k)(5). The IDEA provides that students who have not been determined eligible for special education may still claim the disciplinary procedural protections "if the public agency had knowledge . . . that the child was a child with a disability before the behavior that precipitated the disciplinary action occurred." Id. (emphasis added).

- 29. Per N.C.G.S. 115C-107.3, "suspected children with disabilities" are "those in the formal process of being evaluated or identified as children with disabilities."
- 30. The procedural safeguards of IDEA apply only to suspected children with disabilities or identified children with disabilities. The Petitioners must establish that the Respondent had a basis of knowledge that was a suspected child with a disability.
- 31. For a suspected child with a disability, this "basis of knowledge" is created by one of three circumstances:
  - a. the parent of the child has expressed concern in writing to supervisory or administrative personnel of the appropriate educational agency, or a teacher of the child, that the child is in need of special education and related services;
  - b. the parent of the child has requested an evaluation of the child pursuant to section (a)(1)(B)of [the Act]; or
  - c. the teacher of the child, or other personnel of the local educational agency, has expressed specific concerns about a pattern of behavior demonstrated by the child, directly to the director of special education of such agency or to other supervisory personnel of the agency.

20 U.S.C.1415

Basis of Knowledge with Respect to the November 16, 2015 Long-Term Suspension for Drug Possession:

- 32. Respondent did not have a basis of knowledge that was a suspected child with a disability before the November 16, 2015 long-term suspension.
- 33. None of the three circumstances for a basis of knowledge claim existed prior to November 16, 2015.
- 34. did request an evaluation, in writing, but <u>after</u> being advised about the long-term suspension. This request should have triggered an expedited evaluation process. 20 U. S.C. § 1415(k)(5)(D)(ii);34 C.F.R. 300.534 d

35. However, until the expedited evaluation process is completed, the student remains in the educational placement determined by the authorities which can include suspension or

expulsion without educational services. 20 U.S.C. 1415(k)(5)(D)(i)&(ii); 34 C.F.R.§ 300.534

- 36. Even an identified EC student's placement can be unilaterally changed to an alternative setting for up to 45 days for drugs, weapons, or serious bodily injury violations of the code of student conduct. 20 U.S.C. § 1415(k)(1)(G) (i-iii)•, 34 C.F.R. 300.530(g) (1-3).
- 37. Based on Findings of Fact 3-6, the Undersigned concludes that for the November 16, 2015 long-term suspension, the Respondent had no basis of knowledge that was a suspected student with a disability.
- 38. Respondent did not expedite the evaluation after the November 16, 2015 referral as

required by 20 U.S.C. 1415(k)(5); 34 C.F.R. 300.534(d)(2)(i). The evaluations were completed and eligibility determined on May 23, 2016, six (6) months after the first referral.

- 39. The second referral for testing was February 26, 2016. Evaluations for the second referral should have been expedited too. The eligibility meeting was held within the 90-day time limit but not in an expedited manner.
- 40. Based on Findings of Fact 6, 7, 13, 14, 18-35 and other evidence in the record, Respondent did not comply with the procedural requirements of the IDEA with respect to expediting the evaluation process for either the November 2015 or February 2016 referrals.
- 41. Ultimately, the IEP team determined that was not a child with a disability and not eligible for EC services; therefore, the long-term suspensions were not changes in placement or a denial of FAPE.
- 42. Once "the child has been evaluated and it was determined that the child was not a child with a disability" under the Act, then the "basis of knowledge" provision does not apply. 20 U.S.C.1415(k)(5)(C).
- 43. After the May 23, 2016 eligibility determination that disability, the basis of knowledge provision terminated.
- Based on foregoing Findings of Fact and Conclusions of Law, the Undersigned rules that Respondent complied with the disciplinary protections of the IDEA with respect to

November 2015 long-term suspension but did not expedite the evaluation process.

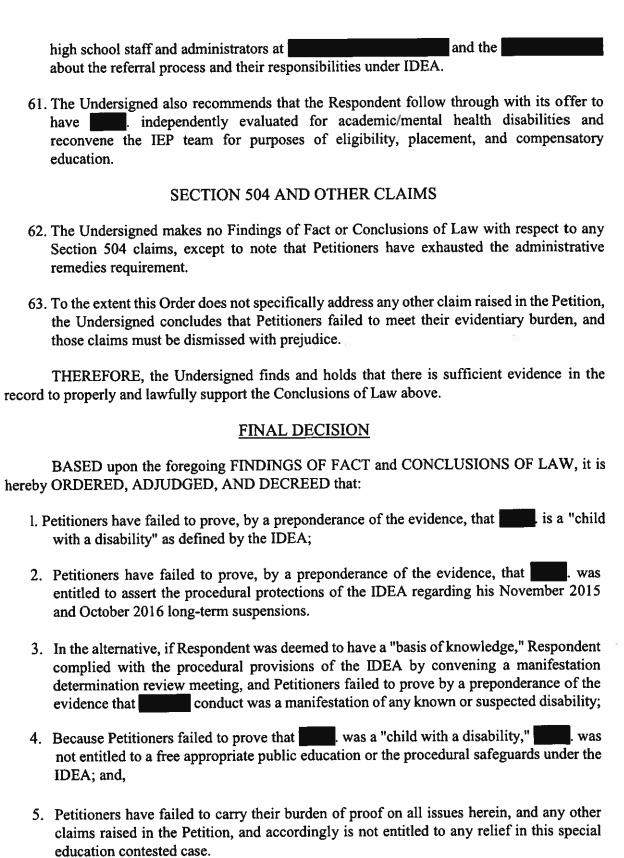
Basis of Knowledge With Respect to the October 6, 2016 Long-Term Suspension for a Weapon/Contraband Possession:

- 45. Using the basis of knowledge provision again, Petitioners asserted that the Respondent should have held a manifestation determination review within 10 days of the October 6, 2016 long-term suspension.
- 46. There is an exception to the basis of knowledge provision. Per the IDEA, if the "child has been evaluated and determined that the child is not a child with a disability under this part, the local educational agency shall not be deemed to have knowledge." 20 U.S.C. §(5)(C); 34 C.F.R. 300.534@). (emphasis added).
- 47. Based on Findings of Fact 36-50 and other evidence in the record after the May 23, 2016 eligibility determination, the Undersigned concludes that the Petitioners failed to prove by a preponderance of evidence that the Respondent had a "basis of knowledge" that was a student with a disability in need of special education, because fell under the "exception" for students who have already been evaluated and deemed ineligible as of May 23, 2016.
- 48. Although new information had been provided to the school on September 29, 2016 after the IDEA evaluation, in the form of a document completed by the information contained in that form was not sufficient to give rise to a new "basis of knowledge" as defined by the IDEA.
- 50. The IDEA permits students with disabilities to be suspended for more than 10 days and up to the same length of time a nondisabled student would be suspended if the conduct in question was not a manifestation of the student's disability. 20 U.S.C. 1415(k)(1)(B)
- 51. A manifestation determination must be made by "the local educational agency, the parent, and relevant members of the IEP team" after a review of "all relevant information in the student's file." Id. If the conduct in question was caused by, or had a direct and substantial relationship to, the child's disability, or if the conduct in question was the direct result of the local educational agency's failure to implement the IEP, then the conduct is determined to be a manifestation of the child's disability. Id. In such a case, the child must be returned to the placement from which he was removed, unless the parent and the LEA agree to a change of placement. 20 U.S.C. 1415(k)(1)(F).
- 52. If the conduct was not a manifestation of the child's disability, the student can be suspended long-term. 20 U.S.C.§ 1415

- 53. After Solution of Solution
- 54. The team complied with the procedural requirements of 20 U.S.C. 1415(k)(1)(E) in reaching its determination that the conduct in question, possession of a weapon at school, was <u>not a manifestation</u> and the Petitioners failed to prove by a preponderance of the evidence produced at hearing that the behavior of carrying a weapon and contraband was a manifestation of any disability.
- as would be required if the "basis of knowledge" provision applied. 20 U.S.C. § 1415(k)(1)(E). However, this delay did not result in educational harm, first because, as outlined above, the Undersigned determines that the "basis of knowledge" provision under IDEA did not apply, and second because even if the Respondent did have a "basis of knowledge," the team correctly determined that the conduct was not a manifestation.
- 56. Based on foregoing Findings of Fact and Conclusions of Law, the Undersigned rules that the Respondent complied with the disciplinary protections of the IDEA with respect to October 2016 long-term suspension.

## PROCEDURAL VIOLATIONS

- 57. Even though ultimately, was found ineligible, suffered no educational harm under the IDEA, and not entitled to the procedural safeguards of IDEA, the Respondent's school staff committed numerous procedural violations in the referral and eligibility process which should be noted for future eligibility determinations.
- 58. The procedural violations are Respondent's: 1. failure to accept the first IDEA referral dated November 16, 2015; 2. failure to provide a Prior Written Notice for the November 16, 2015 unilateral decision to refuse the first referral by the assistant principal; 3. failure to expedite the evaluations after both the first referral and second referral on February 26, 2016; 4. failure to provide a complete copy of the Evaluation at the May 2016 eligibility meeting; 5. Failure to allow the Petitioners (and other IEP team members) meaningful participation in the eligibility determination and thereby predetermining that did not qualify as a child with a specific learning disability; and, 6. failure to evaluate in all suspected areas of disabilities including serious emotional disturbance and other health impaired.
- 59. Had been eligible for EC services, the cumulative effect of these violations would have risen to the level of educational harm and been a violation of IDEA. Regrettably, because was ineligible, the Undersigned cannot award a remedy for these procedural violations.
- 60. Because of the potential harm to unidentified high school students especially minorities, the Undersigned does recommend that the Respondent conduct extensive training with the



IT IS HEREBY ORDERED that all of Petitioners' claims are DISMISSED WITH PREJUDICE.

IT IS SO ORDERED.

## NOTICE

In accordance with the Individuals with Disabilities Education Act and North Carolina's Education of Children with Disabilities laws, the parties have appeal rights regarding this Final Decision.

Under North Carolina's Education of Children with Disabilities laws (N.C.G.S. 115C106.1 et seq.) and particularly N.C.G.S. 1 1 SC-109.9, "any party aggrieved by the findings and decision of a hearing officer under G.S. 115C-109.6 or G.S. 115C-109.8 may appeal the findings and decision within 30 days after receipt of notice of the decision by filing a written notice of appeal with the person designated by the State Board under G.S. 1 5C-107.2(b)(9) to receive notices. The State Board, through the Exceptional Children Division, shall appoint a Review Officer from a pool of review officers approved by the State Board of Education. The Review Officer shall conduct an impartial review of the findings and decision appealed under this section. ',

Inquiries regarding further notices, time lines, and other particulars should be directed to the Exceptional Children Division of the North Carolina Department of Public Instruction, Raleigh, North Carolina prior to the required close of the appeal filing period.

This the 1 Ith day of May, 2017.

Stacey Bice Bawtinhimer Administrative Law Judge